

<b>Meeting Title:</b>	NHS Nottingham North and East CCG Open Governing Body	<b>Date:</b> 16 October 2018							
<b>Paper Title:</b>	Assurance Framework	<b>Paper Reference:</b> NNE/GB/18/114a							
<b>Sponsor:</b>	Gary Thompson								
<b>Previous Related Papers:</b>									
<b>Recommendation:</b>	Approve	<input type="checkbox"/>	Endorse	<input type="checkbox"/>	Review	<input type="checkbox"/>	Receive/Note for:	<input checked="" type="checkbox"/>	
							<ul style="list-style-type: none"> <li>• Assurance</li> <li>• Information</li> </ul>		
<b>Summary Purpose of Paper:</b>	<p>The purpose of this paper is to present the current position of the Greater Nottingham CCGs' Joint Assurance Framework 2018/19 for scrutiny and comment.</p> <p>This paper provides details on the assurance mapping process that has been undertaken in further developing the Assurance Framework. In summary, this process has demonstrated that:</p> <ul style="list-style-type: none"> <li>• There are no critical gaps in controls and internal assurances in relation to the CCGs' strategic risks that warrant urgent corrective action; however, a number of management actions are already underway to strengthen existing controls.</li> <li>• The majority of strategic risks will have received, or can expect, external assurances regarding the effectiveness of organisational controls throughout 2018/19.</li> <li>• The absence of external assurances, and whether existing external assurances are sufficient for the organisations' needs, will be reviewed by the Audit and Governance Committees at their meetings in December 2018.</li> </ul>								
If paper is for Approval/Endorsement, have the following impact assessments been completed?									
Equality / Quality Impact Assessment	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>	Privacy Impact Assessment	Yes	<input type="checkbox"/>
								No	<input type="checkbox"/>
								N/A	<input type="checkbox"/>
<b>Conflicts of Interest:</b> Recommended action to be agreed by the Chair at the beginning of the item.									
<input checked="" type="checkbox"/> No conflict identified <input type="checkbox"/> Conflict noted, conflicted party can participate in discussion but not decision <input type="checkbox"/> Conflict noted, conflicted party can remain but not participate <input type="checkbox"/> Conflicted party is excluded from discussion									
<b>Have All Relevant Implications Been Considered?</b> <i>(please tick where relevant)</i>									
Clinical Engagement	<input type="checkbox"/>	Patient and Public Involvement					<input type="checkbox"/>		

Quality Improvement	<input type="checkbox"/>	Equality, Diversity and Human Rights	<input type="checkbox"/>
Integration	<input type="checkbox"/>	Innovation / Research	<input type="checkbox"/>
Improving Health Outcomes / Reducing Health Inequalities	<input type="checkbox"/>	Patient Choice / Shared Decision Making	<input type="checkbox"/>
Financial Management	<input type="checkbox"/>	Corporate Governance	<input type="checkbox"/>
<b>Risk:</b> <i>(briefly explain any risks associated with the paper)</i>			
<b>Recommendation:</b>	<p>The Governing Body is asked to:</p> <ul style="list-style-type: none"> <li>• <b>Review</b> and <b>Comment</b> on the current position of the Assurance Framework; and</li> <li>• <b>Provide Feedback</b> on further areas for assurance that are not already captured within the Assurance Framework</li> </ul>		