

<b>Meeting Title</b>	NHS Nottingham North and East CCG Governing Body		<b>Date:</b> 23 January 2018								
<b>Paper Title</b>	Risk Assurance Framework		<b>Agenda Item:</b> NNE/GB/021								
<b>Lead Director Report Author</b>	Hazel Buchanan, Director of Operations										
<b>Purpose</b> (tick one only)											
Approval	<input checked="" type="checkbox"/>	Acknowledge/ Note	<input type="checkbox"/>	Review	<input type="checkbox"/>	For Information	<input type="checkbox"/>				
<b>Executive Summary</b>											
<b>Executive Summary</b>		The Risk Assurance Framework has been updated, taking into consideration current operational risks and system pressures. The following changes have been made:									
		Risk 03 – There has been a real push nationally and at STP level which is maintaining focus on the ACS. The new structures include how to better align with the ACS and amalgamate into the day to day. Recommend that likelihood is changed to 2 but impact remains at 5.									
		Risk 10 – The short term is currently the dominant focus as we come into the final quarter of the financial year.									
		Risk 05 – Gaps and actions are supported through the move to the Joint Committee and the single management structure. The strategic partnerships function in the new structure will ensure robust processes as Greater Nottingham and provides a dedicated focus on partnerships with local authorities and the wider consideration of health inequalities. Likelihood was 5 and Impact was 2. Impact should be 5 and likelihood 2.									
		Risk 08 and Risk 09 – The CCG is currently strategically impacted by the scale of change in the system whilst undergoing a restructure. Therefore, it is recommended that the Governing Body consider risk 8 alongside risk 9 and whether likelihood should be increased for risk 9.									
		If paper is for approval, have the following impact assessments been completed?									
Quality Impact Assessment	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input checked="" type="checkbox"/>	Equality Impact Assessment	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input checked="" type="checkbox"/>	Privacy Impact Assessment	Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input checked="" type="checkbox"/>
<b>Conflicts of Interest</b> - Recommended action to be agreed by the Chair at the beginning of the item.											
<input checked="" type="checkbox"/> No conflict identified <input type="checkbox"/> Conflict noted, conflicted party can participate in discussion but not decision <input type="checkbox"/> Conflict noted, conflicted party can remain but not participate <input type="checkbox"/> Conflicted party is excluded from discussion											
<b>Implications:</b> (please tick where relevant)											
Integration				<input type="checkbox"/>	Patient Choice				<input type="checkbox"/>		
Reducing inequality				<input type="checkbox"/>	Patient & Public Involvement				<input checked="" type="checkbox"/>		
Constitution				<input type="checkbox"/>	Quality of Services				<input checked="" type="checkbox"/>		
Governance				<input checked="" type="checkbox"/>	QIPP				<input checked="" type="checkbox"/>		

Innovation	<input type="checkbox"/>	Research	<input type="checkbox"/>
Learning and Development	<input type="checkbox"/>	Sustainability	<input type="checkbox"/>
<b>Finance checked by:</b>			<i>(N/A)</i>
<b>Appendices</b>			
<b>Report History</b>	The Risk Assurance Framework is a standing item.		
<b>Patient and Public Involvement</b>	N/A		
<b>Recommendation</b>	<p>The Governing Body is asked to:</p> <p><b>REVIEW</b> the Risk Assurance Framework, consider in relation to Governing Body discussions and approve for January 2018.</p>		