

Nottingham North and East Clinical Commissioning Group

Meeting Title	NHS Nottingham North and East CCG Governing Body		Date: 21 November 2017					
Paper Title	GB Risk Assurance Framework		Agenda Item: GB GB 17192					
Lead Director Report Author	Hazel Buchanan, Director of Operations Hazel Buchanan, Director of Operations							
Purpose (tick one only)	Approval	<input checked="" type="checkbox"/>	Acknowledge/ Note	<input type="checkbox"/>	Review	<input type="checkbox"/>	For Information	<input type="checkbox"/>
Executive Summary	<p>The Risk Assurance Framework has been updated as follows:</p> <p>Where relevant, risks have been updated to include what could happen, why it could happen and why do we care.</p> <p>The Audit and Governance Committee agreed to a risk in relation to the delivery of Transformation and this has been included as risk 10 – the Governing Body are asked to review the risk ratings.</p> <p>Actions have been reviewed and updated including in relation to the move to a Joint Committee and single management structure.</p> <p>Gaps in controls and assurance have been identified on the framework, including in relation to the current transition period.</p>							
If paper is for approval, have the following impact assessments been completed?								
Quality Impact Assessment	Yes	<input type="checkbox"/>	Equality Impact Assessment	Yes	<input type="checkbox"/>	Privacy Impact Assessment	Yes	<input type="checkbox"/>
	No	<input type="checkbox"/>		No	<input type="checkbox"/>		No	<input type="checkbox"/>
	N/A	<input checked="" type="checkbox"/>		N/A	<input checked="" type="checkbox"/>		N/A	<input checked="" type="checkbox"/>
Conflicts of Interest - Recommended action to be agreed by the Chair at the beginning of the item.								
<input checked="" type="checkbox"/> No conflict identified <input type="checkbox"/> Conflict noted, conflicted party can participate in discussion but not decision <input type="checkbox"/> Conflict noted, conflicted party can remain but not participate <input type="checkbox"/> Conflicted party is excluded from discussion								
Implications: <i>(please tick where relevant)</i>								
Integration	<input type="checkbox"/>	Patient Choice	<input type="checkbox"/>					
Reducing inequality	<input type="checkbox"/>	Patient & Public Involvement	<input checked="" type="checkbox"/>					
Constitution	<input type="checkbox"/>	Quality of Services	<input checked="" type="checkbox"/>					
Governance	<input checked="" type="checkbox"/>	QIPP	<input checked="" type="checkbox"/>					
Innovation	<input type="checkbox"/>	Research	<input type="checkbox"/>					
Learning and Development	<input type="checkbox"/>	Sustainability	<input type="checkbox"/>					

Finance checked by:

Appendices

Report History

Monthly to Governing Body to Approve

Patient and Public Involvement

Recommendation

The Governing Body is asked to:

- APPROVE risk and risk rating for risk 10
- REVIEW risks relevant to current environment and changes and CONSIDER updates to the action plan and gaps in controls and assurance.