

Meeting Title	NHS Nottingham North and East CCG Governing Body		Date: 19 September 2017				
Paper Title	Risk Assurance Framework		Agenda Item: NNE/GB/17/146a				
Lead Director Report Author	Hazel Buchanan, Director of Operations						
Purpose (tick one only)							
Approval	<input checked="" type="checkbox"/>	Acknowledge/ Note	<input type="checkbox"/>	Review	<input type="checkbox"/>	For Information	<input checked="" type="checkbox"/>
Executive Summary							
<p>The Risk Assurance Framework has been updated to reflect accordingly financial recovery, transformation and other changes including plans for a Joint Committee and single management structure. This includes changing/updating controls and assurances. Changes have been aligned with the south CCGs and it is expected that there will be a single Risk Assurance Framework with the implementation of a Joint Committee and single management structure.</p> <p>In particular the Governing Body is asked to review the following:</p> <p>Risk 03 – Lower current risk rating to 15 (impact 5/likelihood 3) as there is a stronger infrastructure around financial recovery and transformation and target risk rating to 10. Target risk rating of 10 may be met with the implementation of the Joint Committee.</p> <p>Risk 06 – Increase target risk rating to 12 (impact 3/likelihood 4)</p> <p>Risk 08 – In the short term, prior to the establishment of the single structure and due to the appointment of the single AO, this risk may need to be increased. The move to the single management structure could mitigate this risk and this would be decided as part of the process in establishing the joint risk assurance framework across the four CCGs.</p> <p>The framework approved in the Governing Body meeting will be discussed in greater detail in the Audit and Governance Committee.</p>							
If paper is for approval, have the following impact assessments been completed?							
Quality Impact Assessment	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	Equality Impact Assessment	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input checked="" type="checkbox"/>	Privacy Impact Assessment	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input checked="" type="checkbox"/>		
Conflicts of Interest - Recommended action to be agreed by the Chair at the beginning of the item.							
<input checked="" type="checkbox"/> No conflict identified <input type="checkbox"/> Conflict noted, conflicted party can participate in discussion but not decision <input type="checkbox"/> Conflict noted, conflicted party can remain but not participate <input type="checkbox"/> Conflicted party is excluded from discussion							
Implications: (please tick where relevant)							
Integration	<input type="checkbox"/>	Patient Choice	<input type="checkbox"/>				
Reducing inequality	<input type="checkbox"/>	Patient & Public Involvement	<input type="checkbox"/>				
Constitution	<input checked="" type="checkbox"/>	Quality of Services	<input type="checkbox"/>				

Governance	<input checked="" type="checkbox"/>	QIPP	<input type="checkbox"/>
Innovation	<input type="checkbox"/>	Research	<input type="checkbox"/>
Learning and Development	<input type="checkbox"/>	Sustainability	<input type="checkbox"/>
Finance checked by:			(N/A)
Appendices	N/A		
Report History	This is a standing item.		
Patient and Public Involvement	N/A		
Recommendation	<p>The Governing Body is asked to:</p> <p>APPROVE the risk assurance framework</p> <p>REVIEW the controls, assurances, action plan and risk ratings.</p>		